

PRIVACY POLICY NOTICE

Ferrell Wealth Management, Inc. is committed to safeguarding the confidential information of our clients. We hold all personal data provided to us in the strictest confidence and require your permission before discussing your affairs with anyone external to the firm. The information we compile includes that collected from your previous advisors, the information provided by you on our questionnaires and that from statements and other sources that you give us.

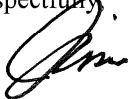
We will never disclose information to nonaffiliated third parties (such as brokerage firms, credit-card companies, insurance companies, or banks) except as permitted by law or in carrying out the duties for which you retained us.

As you know, we use financial information you give us to assist you in meeting your personal financial goals while guarding against any perceived or real infringements of your privacy rights. Our policy with respect to personal information is as follows:

- Access to your information is limited to those employees who have a business or professional reason for knowing it and to nonaffiliated parties as permitted or required by law – for example, federal regulations permit us to share a limited amount of information with brokerage firms in order to transact business on your behalf. Federal and state regulators, in the course of inspecting us, may also have access to your data.
- We maintain a secure physical office and a protected computer network. All email communications are guarded to ensure that your information is not placed at unreasonable risk.
- We require strict confidentiality in our agreements with unaffiliated third parties that require access to your personal information (such as brokerage firms) and expect them to keep this information private.
- We do not provide your personal information to mailing list vendors or solicitors for any purpose.
- Disclosure of private client information is an offense subjecting employees to termination.
- We will maintain your files as long as you are a client and for as long thereafter as may be required by law and the CFA/CPA/PFS/CFP® Code of Ethics and Professional Responsibility. After this required period of retention, all such information will be appropriately destroyed.

The Gramm-Leach-Bliley Act requires that we communicate our policies in writing to you annually. Please let us know if you have any further questions.

Respectfully,



James W. Ferrell
President

FERRELL
WEALTH MANAGEMENT INC.
Registered Investment Advisor